



S/09/774,829

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven V. Kauffman Examiner: Not assigned
Serial No.: 09/774,829 Group Art Unit: 2171
Filed: January 26, 2001 Docket: STL000046191
Title: CODE GENERATOR SYSTEM FOR DIGITAL LIBRARIES

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CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

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INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation organized and existing under the laws of the State of New York, having a place of business at Armonk, New York 10504, certifies that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of:

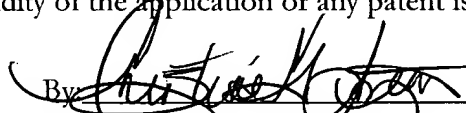
An assignment from the inventor(s), Steven V. Kauffman, to International Business Machines Corporation, of the patent application identified above. The assignment was filed in the United States Patent and Trademark Office on January 26, 2001, (copy attached).

International Business Machines Corporation owns 100% interest in the above-identified patent application.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, as the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 9 July 2001

By: 
Name: Christine Smith, Reg. No. 43,133
Title: Attorney, Intellectual Property Law



#5
WM
8/27/03

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven V. Kauffman Assignee: International Business Machines Corporation
Serial No.: 09/774,829 Examiner: Not assigned
Filed: January 26, 2001 Group Art Unit: 2171
Docket: STL9000046US1
Title: CODE GENERATOR SYSTEM FOR DIGITAL LIBRARIES

REVOCATION AND APPOINTMENT OF ATTORNEYS

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Commissioner for Patents
Washington, D.C. 20231

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Sir:

Please revoke the existing Powers of Attorney, if any, and appoint the following attorneys and/or patent agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection therewith:

Romualdas Strimaitis, Reg. No. 35,697; Prentiss W. Johnson, Reg. No. 33,123; Ingrid M. Foerster, Reg. No. 36,511; Gregory M. Plow, Reg. No. 43,005; Farrokh E. Pourmirzaie, Reg. No. 45,297; Christine H. Smith, Reg. No. 43,133; Christopher A. Hughes, Reg. No. 26,914; John E. Hoel, Reg. No. 26,279; Edward A. Pennington, Reg. No. 32,588; and Joseph C. Redmond, Jr., Reg. No. 18,753, all of whom are attorneys with I.B.M. Corporation;

Paul D. Greeley, Reg. No. 31,019; and Charles N.J. Ruggiero, Reg. No. 28,468; all of whom are attorneys with Ohlandt, Greeley, Ruggiero & Perle, LLP:

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Respectfully submitted,

Date:

9 July 2001

By:

Name: Christine Smith, Reg. No. 43,133

Title: Attorney, Intellectual Property Law

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MAY 17 2001

Christie, Parker & Hale, LLP



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 04, 2001

PTAS



101622215A

PRETTY & SCHROEDER, P.C.

ANNE WANG

444 S. FLOWER STREET

19TH FLOOR

LOS ANGELES, CALIFORNIA 90071

CASE # _____ ACTION _____

REMINDER _____ DUE DATE _____

DEADLINE _____

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

57920000046
INV

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/26/2001

REEL/FRAME: 011535/0275
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KAUFFMAN, STEVEN V.

DOC DATE: 01/25/2001

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ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION

NEW ORCHARD ROAD

ARMONK, NEW YORK 10504

Technology Center 2100

SERIAL NUMBER: 09774829

PATENT NUMBER:

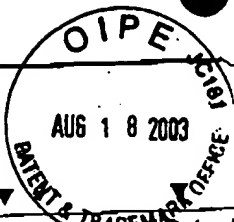
FILING DATE: 01/26/2001

ISSUE DATE:

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1525 (Modified)
(Rev. 5-93)
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02-28-2001



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Dor No.: STL000046US1

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Steven V. Kauffman

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: January 25, 2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk

State/Prov.: New York

Country: United States

ZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: January 25, 2001

Patent Application No.

Filing date

To Be Assigned

January 26, 2001

B. Patent No.(s)

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Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anne Wang

Registration No. 36,045

Address: Pretty & Schroeder, P.C.

444 S. Flower Street

19th Floor

City: Los Angeles

State/Prov.: California

Country: United States

ZIP: 90071

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0460

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey F. Craft

Name of Person Signing

Signature

January 26, 2001

Date

2

Total number of pages including cover sheet, attachments, and

Page 1 of 1

ASSIGNMENT

Docket No. STL000046US1

Whereas, I

INVENTOR
AND CITY(1) Steven V. Kauffman
County of Santa Claraof San Jose,
and State of California

has invented certain improvements in

TITLE

CODE GENERATOR SYSTEM FOR DIGITAL LIBRARIES

DATE THAT

and executed, respectively, a United States patent application therefor on

INVENTOR

SIGNED THE

DECLARATION

(1) JAN 25, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY
DATE(1) at SAN JOSE
on JAN 25, 2001,
Steven V. KauffmanSIGNATURE
INVENTOR